

EXPRESS MAIL CERTIFICATE

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07278

PATENT TRADEMARK OFFICE

Docket No: 7529/1G148US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ali HEMMATI-BRIVANLOU and Curtis R. ALTMAN

Serial No.: 09/910,943

Art Unit: To be assigned

Confirmation No.: 3651

Filed: July 23, 2001

Examiner: To be assigned

For: ASSAYS AND MATERIALS FOR EMBRYONIC GENE EXPRESSION

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97 and 1.98

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Sir:

In order to comply with the duty to disclose information under 37 C.F.R. § 1.56, Applicants submit herewith an Information Disclosure Statement in accordance with 37 CFR §§ 1.97 and 1.98. In particular, Applicants submit herewith: (1) a Form PTO-1149 listing references for consideration by the Examiner; and (2) copies of references 1-30 cited therein.

It is believed that no fee is required for this submission as this Information Disclosure Statement is timely submitted in accordance with 37 C.F.R. § 1.97(b)(3) and before any mailing of a first Office Action on the merits. However, should the U.S. Patent and Trademark Office determine that any fee is required or that any refund is due for this application, the Commissioner is authorized and requested to charge any required fee(s) and/or credit any refund(s) due to Deposit Account No. 04-0100.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration by the Examiner and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

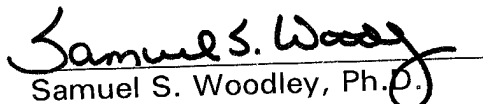
The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art.

No representation is intended that the cited documents represent the results of a complete search or that any search has been made. It is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: December 12, 2001

  
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FORM PTO-148 U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

SHEET 1 OF 3  
(REV. 7-80)

**LIST OF REFERENCES CITED BY APPLICANT**  
(Use Several Sheets if Necessary)

DOCKET NO.: 7529/1G148US1  
APPLICANT: Ali HEMMATI-BRIVANLOU

SERIAL NO: 09/910,943  
FILING DATE: July 23, 2001  
CONFIRMATION NO.: 3651

**U.S. PATENT DOCUMENTS**

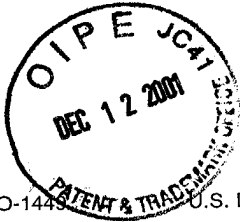
| <u>*EXAMINER<br/>INITIALS</u> | <u>DOCUMENT<br/>NUMBER</u> | <u>DATE</u> | <u>NAME</u>     | <u>CLASS</u> | <u>SUBCLASS</u> | <u>FILING DATE</u> |
|-------------------------------|----------------------------|-------------|-----------------|--------------|-----------------|--------------------|
|                               | 1. 6,045,996               | 4/4/00      | Cronin et al.   | 435          | 6               | 5/16/96            |
|                               | 2. 6,040,138               | 3/21/00     | Lockhart et al. | 435          | 6               | 9/15/95            |
|                               | 3. 6,027,880               | 2/22/00     | Cronin et al.   | 435          | 6               | 10/10/95           |
|                               | 4. 6,020,135               | 2/1/00      | Levine et al.   | 435          | 6               | 3/27/98            |
|                               | 5. 5,968,740               | 10/19/99    | Fodor et al.    | 435          | 6               | 3/5/97             |
|                               | 6. 5,959,098               | 9/28/99     | Goldberg et al. | 536          | 25.3            | 4/17/96            |
|                               | 7. 5,945,334               | 8/31/99     | Besemer et al.  | 435          | 287.2           | 6/7/95             |
|                               | 8. 5,885,837               | 3/23/99     | Winkler et al.  | 435          | 91.1            | 10/23/96           |
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|                               | 10. 5,861,242              | 1/19/99     | Chee et al.     | 435          | 5               | 1/9/97             |
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**FOREIGN PATENT DOCUMENTS**

| <u>*EXAMINER<br/>INITIALS</u> | <u>DOCUMENT<br/>NUMBER</u> | <u>DATE</u> | <u>COUNTRY</u> | <u>CLASS</u> | <u>SUBCLASS</u> | <u>TRANSLATION</u><br><u>YES</u> <u>NO</u> |
|-------------------------------|----------------------------|-------------|----------------|--------------|-----------------|--|
|-------------------------------|----------------------------|-------------|----------------|--------------|-----------------|--|

**OTHER REFERENCES**  
**(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**

| <u>*EXAMINER<br/>INITIALS</u> |  |
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SHEET 2 OF 3  
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APPLICANT: Ali HEMMATI-BRIVANLOU FILING DATE: July 23, 2001

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FORM PTO-144 PATENT & TRADEMARK OFFICE U.S. DEPARTMENT OF COMMERCE

SHEET 3 OF 3  
(REV. 7-80)

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